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3624

PATENTS
CF/013

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Glenn D. Kirwin et al.
Application No.: 09/745,651 Confirmation No.: 1536
Filed : December 22, 2000
For : SYSTEMS AND METHODS FOR PROVIDING A TRADING
INTERFACE
Group Art Unit : 3624
Examiner : Charles R. Kyle

New York, New York
July 19, 2005

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

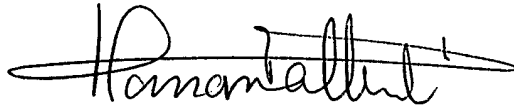
TRANSMITTAL LETTER FOR
FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith is a Fourth Supplemental Information Disclosure Statement in the above-identified application. This Statement is submitted before the mailing of a First Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114.


In accordance with 37 C.F.R. § 1.97(b)(4), submission of this Statement requires no fee. However, if for any reason a fee is due, the Director is hereby authorized to charge payment of any fees required in connection with this Fourth Supplemental Information Disclosure Statement to Deposit Account No. 06-1075 (Order No. 000741.0013). A duplicate copy of this letter is transmitted herewith.

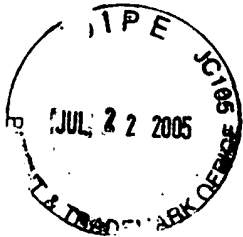
Respectfully submitted,



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P.O. Box 1450
Alexandria, Virginia 22313-1450

FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56, 1.97 and
1.98, applicants wish to call the attention of the Examiner
to the following documents:

FOREIGN PATENT DOCUMENT

WO 99/26175 05/27/99 PCT

OTHER DOCUMENT

Caprini et al., "Data Acquisition General
Control User Interface", June 26, 1995, at
<http://citeseer.ist.psu.edu/617.html> (Printed
July 18, 2005)


These documents are listed on the accompanying Form PTO/SB/08A (submitted in duplicate) and copies are enclosed herewith.

It is respectfully requested that these documents be (1) fully considered by the Patent and Trademark Office during examination of this application; and (2) printed on any patent which may issue on this application. Applicants request that a copy of Form PTO/SB/08A, as considered and initialed by the Examiner, be returned with the next communication.

In accordance with 37 C.F.R. § 1.97(b)(4), submission of this Statement requires no fee. However, if for any reason a fee is due, the Director is hereby authorized to charge payment of any fees required in connection with this Fourth Supplemental Information Disclosure Statement to Deposit Account No. 06-1075 (Order No. 000741.0013). A duplicate copy of this Statement is enclosed herewith.

Consideration of the foregoing in relation to this
patent application is respectfully requested.

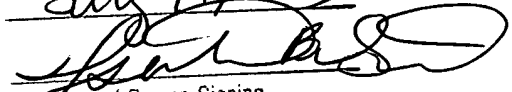
Respectfully submitted,

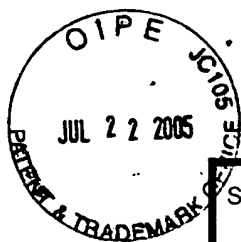


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Substitute for form 1449/PTO				Complete if known	
				Application Number	09/745,651
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Confirmation No.	1536
				Filing Date	December 22, 2000
				First Named Inventor	Glenn D. Kirwin et al.
				Art Unit	3624
				Examiner Name	Charles R. Kyle
				Attorney Docket Number	CF/013
Sheet	1	of	1		

U.S. PATENT DOCUMENTS					
Examiner initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			

Examiner Signature		Date Considered	
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FOREIGN PATENT DOCUMENTS						
Examiner initials	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ¹ - Number ² - Kind Code ³				
		WO 99/26175	05-27-1999	BANNER BUY L L C		

ON PATENT LITERATURE DOCUMENTS			
Examiner initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ⁶
		Caprini et al., "Data Acquisition General Control User Interface", June 26, 1995, at http://citeseer.ist.psu.edu/617.html (Printed July 18, 2005)	

Examiner Signature		Date Considered	
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